

# NIH CRADA CLEARANCE DOCUMENT

(Cooperative Research and Development Agreement)

After the provisions of a Cooperative Research and Development Agreement have been negotiated, the CRADA must go through clearance procedures. This form documents the approval process.

NIH CRADA NO: \_\_\_\_\_ TERM: \_\_\_\_\_ TYPE: STANDARD CRADA ☐ MATERIALS CRADA ☐

TITLE:

INSTITUTE (1) \_\_\_\_\_  
INSTITUTE (2) \_\_\_\_\_

NIH PI (1) \_\_\_\_\_  
NIH PI (2) \_\_\_\_\_

TELEPHONE: \_\_\_\_\_

FAX TELEPHONE: \_\_\_\_\_

LOCATION: Building \_\_\_\_\_ Room \_\_\_\_\_

\*If more than one, the first is the lead PI.

COLLABORATOR (1) \_\_\_\_\_  
COLLABORATOR (2) \_\_\_\_\_

STREET: \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

COLLABORATOR PI (1) \_\_\_\_\_  
COLLABORATOR PI (2) \_\_\_\_\_

TELEPHONE: \_\_\_\_\_

FAX TELEPHONE: \_\_\_\_\_

Are there pre-existing PHS patent rights which govern the subject matter of this CRADA? ☐ Y ☐ N If yes, give Patent or Application No.(s): \_\_\_\_\_

Is there an effective Letter of Intent for this CRADA? If so, give date of letter: \_\_\_\_\_

Will this CRADA use: ☐ Animal Tissue ☐ Human Tissue (Indicate Animal Assurance No. \_\_\_\_\_)  
(Indicate Human Assurance No. \_\_\_\_\_)

Does this CRADA involve: (Check all applicable) ☐ Basic Research ☐ Pre-Clinical Research ☐ Clinical Research ☐ Other \_\_\_\_\_

Was this CRADA discussed with more than one applicant? ☐ Y ☐ N No. of Applicants: \_\_\_\_\_ / Small Business  
No. of Applicants: \_\_\_\_\_ / Large Business

Is collaborator a non-profit entity? ☐ Y ☐ N If not, is collaborator a small or large for-profit entity? ☐ S ☐ L ☐ Not Applicable

Is this CRADA with a foreign collaborator? ☐ Y ☐ N COUNTRY \_\_\_\_\_

Are there modifications to Appendix C (Standard CRADA) or Appendix B (Materials CRADA)? ☐ Y ☐ N

KEYWORDS: (Check all applicable) ☐ CARDIOVASCULAR ☐ NON-LABORATORY RESEARCH ☐ VACCINE  
☐ CANCER ☐ RESEARCH TOOL ☐ DEVICE / INSTRUMENTATION  
☐ GENE THERAPY ☐ DIAGNOSTIC ☐ DRUG DEVELOPMENT  
☐ AIDS ☐ THERAPEUTIC ☐ OTHER \_\_\_\_\_

TOTAL NUMBER OF PERSON YEARS

NIH: \_\_\_\_\_

COLLABORATOR: \_\_\_\_\_

\$ FROM COLLABORATOR TO NIH

AVERAGE \$ PER YEAR: \_\_\_\_\_

TOTAL \$ PER TERM: \_\_\_\_\_

If amount varies year to year, 1st \_\_\_\_\_  
show each year 2nd \_\_\_\_\_  
3rd \_\_\_\_\_  
4th \_\_\_\_\_  
5th \_\_\_\_\_

## ICD APPROVAL

NIH PRINCIPAL INVESTIGATOR \_\_\_\_\_ DATE \_\_\_\_\_

NIH LABORATORY CHIEF \_\_\_\_\_ DATE \_\_\_\_\_

ICD TECHNOLOGY DEVELOPMENT COORDINATOR \_\_\_\_\_ DATE \_\_\_\_\_

ICD SCIENTIFIC DIRECTOR \_\_\_\_\_ DATE \_\_\_\_\_

## NIH APPROVAL (NOT NECESSARY FOR MATERIALS CRADAs)

OFFICE OF TECHNOLOGY TRANSFER, DIRECTOR \_\_\_\_\_ DATE \_\_\_\_\_

NIH LEGAL ADVISOR \_\_\_\_\_ DATE \_\_\_\_\_

CRADA SUBCOMMITTEE, CHAIR \_\_\_\_\_ DATE \_\_\_\_\_

NIH DIRECTOR \_\_\_\_\_ DATE \_\_\_\_\_